

The role of the chromospheric canopy in the formation of a penumbra

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1. Introduction

Conditions for penumbra formation:

- Magnetic flux threshold of 3 · 10²⁰Mx (Keppens & Martinez Pillet, 1996). Transition region also possible (Tlatov & Pevtsov 2014; Cho et al. 2015)
- Hints for chromospheric canopy:
 - Observations: Shimizu et al. 2012 observed annular zone (3"-5") in chromospheric Ca II H core intensity images before penumbra formation. Also Murabito et al. 2021: Special case
 - Simulations: Rempel 2012 found that a penumbra only forms when the magnetic fields at the top of the simulation box are forced to be horizontal ($\alpha > 1$).
 - Lack of data to verify the connection.
- Additional conditions?



Penumbra formation scenarios (origin of inclined fields)



2. GREGOR Data overview



Observations with GREGOR telescope

- Target: sunspot with partial penumbra (NOAA 12776)
- Date: 2020 / 10 / 16 (Gregor Science verification phase)
- $\mu = 0.64$
- HMI data: Sunspot just formed and stays in this configuration for several days

Instruments:

- **BBI** imaging: G-band (431 nm) & TiO-band (706 nm) filters
- **GRIS** slit-spectropolarimetry in 10830 Å region
 - Ca I 10839 Å line (low photosphere)
 - Si I 10827 Å line (mid-high photosphere)
 - He I 10830 Å triplet (high chromosphere)



Separate inversions

Ca I 10839 Å line: VFISV (Borrero et al. 2011)

26

24

22

20.0 22.5

- Si I 10827 Å line: SIR . (Ruiz Cobo & del Toro Iniesta 1992), heightdependent
- He I 10830 Å: **HAZEL** . (Asensio Ramos et al. 2008)

First plausibility check:

- Penumbral filamentary structure dissolves towards higher layers
- Evershed flow is also only ٠ clearly visible in low photosphere





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Local reference

frame!

Ca | 10839 Å

Si I 10827 Å

Si I 10827 Å

-0.

100

75

50

- 25

- 10C

75

- 50

- 25

- 100

75

25



Separate inversions

Ca | 10839 Å line: VFISV (Borrero et al. 2011)

22.5

28

22

120

100 -

160 180

- Si I 10827 Å line: SIR . (Ruiz Cobo & del Toro Iniesta 1992), heightdependent
- He I 10830 Å: HAZEL . (Asensio Ramos et al. 2008)

First plausibility check:

- Penumbral filamentary structure dissolves towards higher layers
- Evershed flow is also only ٠ clearly visible in low photosphere







inc HAZEL [deg]

220

240 260 280

180 200 Si I 10827 Å

- 25

100

75

Si I 10827 Å

He I 10830 Å triplet



region A: no penumbra region B: with penumbra



- Overlap between the distributions of region A and region B in the deep photosphere.
- Differences of distributions increase with height
- Average field inclination is similar between A and B in deep photosphere only.



Region A: no penumbra Region B: with penumbra

- Region A: Inversion problems with 39 % of the pixels (low circular, high linear polarization)
- Region A: close to vertical fields
- Region B: close to horizontal field

Large dark area seen in Full-scan map
-> distortion of chromospheric canopy



5. BBI high-resolution data: Thin bright filaments







- Thin, straight filaments seen with high contrast in TiO filter images
- "Thin Bright Filaments" (TBFs):
 - Maximum width: 0.1 arcsec (diffraction limit of the telescope)
 - Average lifetime: 4 minutes
 - Average length: 1.4 arcsec
- Not resolved in GRIS data

6. Conclusions and discussion points

- Magnetic topologies in region A (no penumbra, TBFs) and region B (penumbra) are similar in the deep photosphere.
 - It is surprising that, without a continuum image, one would not be able to tell from magnetic field maps whether a penumbra is present or not!
- Differences in field topology between region A and region B are present in higher layers. Largest difference in chromosphere. Dark structure in Helium line depression maps
 - Something must prevent the penumbra from forming in region A. The deep photospheric magnetic topology is similar ('ingredients' for formation are given). -> Hint toward the disturbed chromospheric canopy being the reason. This is the first evidence of the connectivity between the photosphere and chromosphere playing a role in penumbra formation based on spectropolarimetric data from both the photosphere and the chromosphere.
- Region A: Close-to-vertical chromospheric, but inclined photospheric (stable) magnetic fields
 - Unlikely that inclined photospheric field originate from chromosphere -> Originate from inclined emerging magnetic fields ("bottom-up")

Proposed bottom-up scenario for this sunspot: Magnetic flux tubes with inclined fields emerge from below the surface.

- In region A, the chromospheric canopy is disturbed and the inclined fields are not kept stably. These unstable flux tubes are seen as TBFs, which escape into higher layers or dissolve.
- In the remaining regions, an intact canopy exists, which 'traps' the photospheric fields and a penumbra can form.







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